

FORM PTO-1390 (Modified)  
(REV 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

VOSSI170

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

09/890113

INTERNATIONAL APPLICATION NO.  
PCT/EP00/09700INTERNATIONAL FILING DATE  
04 October 2000PRIORITY DATE CLAIMED  
04 October 1999

## TITLE OF INVENTION

**METHOD AND DEVICE FOR SEPARATING AND DISPOSING OF FAECES AND URINE IN URINE  
SEPARATION TOILETS**

APPLICANT(S) FOR DO/EO/US

BRAUN, Ulrich

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). (unsigned)
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

## Items 13 to 20 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☐ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
20. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
22. ☒ Certificate of Mailing by Express Mail EL617043505US
23. ☒ Other items or information: Petition Under 37 CFR 1.37(b) for Revival of an Unintentionally Abandoned Application and Statement Under 37 CFR 1.137(b)

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.53) <b>09/890013</b>	INTERNATIONAL APPLICATION NO. <b>PCT/EP00/09700</b>	ATTORNEY'S DOCKET NUMBER <b>VOSS1170</b>
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24. The following fees are submitted.:

CALCULATIONS PTO USE ONLY

**BASIC NATIONAL FEE ( 37 CFR 1.492 (a) (1) - (5) ) :**

- ☐ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1000.00
- ☒ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$860.00
- ☐ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$710.00
- ☐ International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$690.00
- ☐ International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =****\$860.00**

Surcharge of \$130.00 for furnishing the oath or declaration later than ☒ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).

**\$130.00**

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	10 - 20 =	0	x \$18.00		\$0.00
Independent claims	2 - 3 =	0	x \$80.00		\$0.00
Multiple Dependent Claims (check if applicable) <input checked="" type="checkbox"/>					\$270.00
<b>TOTAL OF ABOVE CALCULATIONS =</b>					<b>\$1,260.00</b>
<input checked="" type="checkbox"/> Applicant claims small entity status. (See 37 CFR 1.27). The fees indicated above are reduced by 1/2.					\$630.00
<b>SUBTOTAL =</b>					<b>\$630.00</b>
Processing fee of \$130.00 for furnishing the English translation later than <input checked="" type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).				+	\$130.00
<b>TOTAL NATIONAL FEE =</b>					<b>\$760.00</b>
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <input type="checkbox"/>					\$0.00
<b>TOTAL FEES ENCLOSED =</b>					<b>\$760.00</b>
				Amount to be: refunded	\$
				charged	\$

- a. ☒ A check in the amount of **\$760.00** to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. **50-1355**. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

**Lisa A. Haile, Ph.D.**  
**Gray Cary Ware & Freidenrich LLP**  
**4365 Executive Drive, Suite 1600**  
**San Diego, California 92121**  
**USA**  
**(858) 677-1456**  
**(858) 677-1465**

*Lisa A. Haile*  
 SIGNATURE

**Lisa A. Haile, Ph.D.**

NAME

**38,347**

REGISTRATION NUMBER

**July 26, 2001**

DATE

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventor: Braun Art Unit:  
Application No.: PCT/EP00/09700 Examiner:  
Filed: October 4, 2000  
Title: METHOD AND DEVICE FOR SEPARATING AND DISPOSING OF  
FAECES AND URINE IN URINE SEPARATION TOILETS.

**BOX DAC**

Commissioner for Patents  
Washington, D.C. 20231

**STATEMENT UNDER 37 C.F.R. § 1.137(b)**

Sir:

I, Lisa A. Haile, hereby state that the entire delay in filing the required U.S. National Application from PCT application EP00/09700, from the due date for the filing to the filing of a grantable petition pursuant to 37 C.F.R. § 1.137(b) was unintentional.

Applicant did not become aware that the above-identified application was abandoned until about June 27, 2001. As the priority date of the application is October 4, 1999, the 20 month period expired June 4, 2001. Failure to enter National Stage Examination in the United States at that time was unintentional.

<p>"EXPRESS MAIL" Mailing Label Number_EL617043505US_____ Date of Deposit_July 26, 2001_____ I hereby certify under 37 CFR§ 1.10 that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office To Addressee" with sufficient postage on the date indicated above and is addressed to the Commissioner for Patents, Washington, DC 20231. _____ Mikhail Bayley</p>
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In the Application of:

Braun

Application No. PCT/EP00/09700

Filed: October 4, 2000

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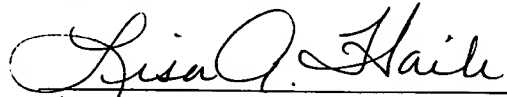
531 Rec'd PCT 09/890113  
26 JUL 2001  
Attorney Docket No.: VOSS1170

Applicant did not authorize abandonment of the application. Upon learning of the abandoned status of the present application, Applicant initiated revival of the application.

Respectfully submitted,

Date: \_\_\_\_\_

7/26/01



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